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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898648553US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Carolyn Naegle
Carolyn Naegle

Attorney Docket No.: NOVLP025/NVLS-
000433

First Named Inventor: Evan E. Patton



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Evan E. Patton, Jonathan D. Reid, Jeffrey A. Hawkins, and Dinesh S. Kalakkad

For: **IMPROVED CLAMSHELL APPRATUS WITH DYNAMIC UNIFORMITY CONTROL**

Assigned to: Novellus Systems, Inc.

Application Elements:

- ☒ **27** Pages of Specification, Claims and Abstract
☒ **10** Sheets of formal Drawings
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☒ Information Disclosure Statement with Form PTO-1449
☒ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☐ Other:

☒ PLEASE DEFER FILING FEES AT THIS TIME.

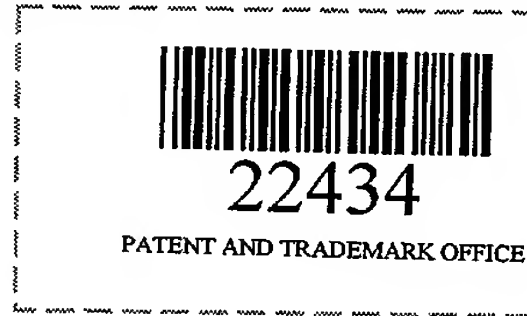
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NOVLP025).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NOVLP025).

☒ Please send correspondence to the following address:

Customer Number 022434



Date: November 30, 2001

Brian D. Griedel

Brian D. Griedel
Registration No. 48,425

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Evan E. Patton, Jonathan D. Reid, Jeffrey A. Hawkins, and Dinesh S. Kalakkad Attorney Docket No.: NOVLP025/NVLS-000433

Application No.: Not yet assigned

Examiner: Not yet assigned

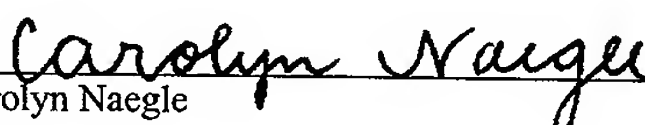
Filed: November 30, 2001

Group: Not yet assigned

Title: IMPROVED CLAMSHELL APPRATUS
WITH DYNAMIC UNIFORMITY CONTROL

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 30, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898648553US**, addressed to the Commissioner for Patents, Washington, DC 20231.


Carolyn Naegle

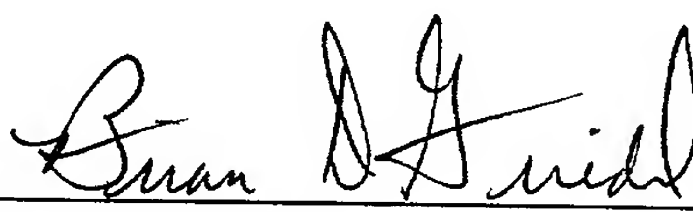
NONPUBLICATION REQUEST AND CERTIFICATION
(35 U.S.C. 122(b)(2)(B)(i))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 30-Nov-01


Brian D. Griedel
Registration No. 48,425

NOTE:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

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